

PTO/SB/01 (03-01)

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**☒ Declaration
Submitted
with Initial
Filing

OR

☐ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

IPCA

First Named Inventor

Ram. THEMBALATH

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Stabilized Paroxetine Formulation

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(If applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
977/MUM/2003	INDIA	18 Sept 2003	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
/MUM/2003	INDIA	18 Sept 2003	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
PCT/IN03/	INDIA	31 Oct 2003	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
384/MUM/2003	INDIA	17 April 2003	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

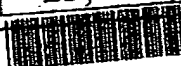
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DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to: ☒ Customer Number or Bar Code Label **22,925** OR ☐ Correspondence address below

22925

PATENT TRADEMARK OFFICE

Name

Address

State

ZIP

City

Telephone

Fax

Country

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle (if any))

Ramachandran

Family Name
or Surname THEMBALATHInventor's
Signature

Date

Residence: City

Mumbai

Maharashtra
StateINDIA
Country

Citizenship

India

Mailing Address

6/35, Prakash Co. Housing Soc., Relief Road, Santacruz (West)

City

Mumbai

Maharashtra
State400 054
ZIPINDIA
CountryNAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle (if any))

Yatish Kumar

Family Name
or Surname BANSALInventor's
Signature

Date

Residence: City

Mumbai

Maharash
tra
StateINDIA
Country

Citizenship

India

Mailing Address

Flat No. 3, Siras Villa, Plot No. 40, Sai Baba Park, Evershine Nagar, Mulad
(West)

City

Mumbai

Maharash
tra
State400 064
ZIPINDIA
Country☒ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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Please type a plus sign (+) inside this box → ☐PTO/88/02A (11-00)
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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 3 of 3Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Family Name or Surname

Veena

SINGH

Inventor's
Signature

Date

Residence: City

Mumbai

Maharashtra

INDIA

India
Citizenship

4/129, BHEL Officers Flats, Link Road, D.N. Nagar, Andheri (West)

Mailing Address

Mailing Address

City

Mumbai

Maharashtra

ZIP 400 053

Country INDIA

Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Family Name or Surname

Inventor's
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

Mailing Address

City

State

ZIP

Country

Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Family Name or Surname

Inventor's
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

Mailing Address

City

State

ZIP

Country

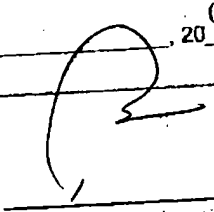
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RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (pp. 3-1/2/02)			
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:			
1. Name of conveying party(ies): Ramachandran THEMBALATH, Yatish Kumar HANSAL and Viena SINGH		2. Name and address of receiving party(ies) Name: IPCA Laboratories, Ltd. Internal Address: Patent Department	
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Street Address: 48, Kaudvli Industrial Estate, Mumbai-400 067	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other		City: Mumbai State: Maharash Zip: 400 054	
Execution Date: As of Nov. 2003		Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is Nov. 2003			
A. Patent Application No.(s) unassigned		B. Patent No.(s) unassigned	
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Pharmaceutical Patent Attorneys LLC Internal Address: Attention: Mark POHL (P-4014) Street Address: 55 Madison Avenue, 4th floor Manhattan NJ 07960-7397 City: _____ State: _____ Zip: _____		6. Total number of applications and patents involved: one	
7. Total fee (37 CFR 3.41)..... \$ 40 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account		8. Deposit account number: _____ (Attach duplicate copy of this page if paying by deposit account)	
DO NOT USE THIS SPACE			
9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
Name of Person Signing: _____		Signature: _____ Date: Nov. 2003	
Total number of pages including cover sheet, attachments, and documents: <input type="checkbox"/>			

Most documents to be recorded with required cover sheet information for
 Continuation of Patents & Trademarks, this Assignment
 Washington, D.C. 20231

Sample Form (former PTO/SB/15) (05-01)

ASSIGNMENT OF APPLICATION	Docket Number (Optional) IPCA
<p>Whereas, I/We, <u>Ramachandran THEMBALATH</u> of <u>Mumbai, INDIA</u>, hereafter referred to as applicant, have invented certain new and useful improvements in <u>Stabilized Paroxetine Formulation</u></p> <p><input type="checkbox"/> for which an application for a United States Patent was filed on _____ Application Number _____</p> <p><input checked="" type="checkbox"/> for which an application for a United States Patent was executed on _____ the date hereof _____, and</p> <p>Whereas, <u>IPCA Laboratories, Ltd.</u> of <u>Mumbai, INDIA</u> herein referred to "assignee" whose mailing address is <u>48, Kandivli Industrial Estate, Mumbai - 400 067</u> is desirous of acquiring the entire right, title and interest in the same:</p> <p>Now, therefore, in consideration of the sum of <u>one US dollars (\$ 1.00)</u>, the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States. I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full and of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.</p> <p>Executed this _____ day of <u>November</u>, 20<u>03</u> at <u>Mumbai, INDIA</u></p> <div style="text-align: right; margin-top: 20px;">  _____ (Signature) </div> <p>State of _____ SS: _____ County of _____</p> <p>Before me personally appeared said <u>Ramachandran THEMBALATH</u> and acknowledged the foregoing instrument to be his free act and deed this _____ day of <u>November</u>, 20<u>03</u></p> <p style="text-align: right;">_____ (Notary Public)</p> <p>Seal</p> <p><small>Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.</small></p> <p><input checked="" type="checkbox"/> Total of _____ forms are submitted.</p>	

This form offers a sample or suggested format for an assignment document. This sample form is not an OMB officially approved form.

Sample Form (former PTO/SB/15) (05-01)

ASSIGNMENT OF APPLICATION	Docket Number (Optional) IPCA
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Whereas, I/We, Yatish Kumar BANSAL of Mumbai, INDIA, hereafter referred to as applicant, have invented certain new and useful improvements in Stabilized Paroxetine Formulation

☐ for which an application for a United States Patent was filed on _____
Application Number _____ / _____.

☒ for which an application for a United States Patent was executed on _____ the date hereof _____, and

Whereas, IPCA Laboratories, Ltd. of Mumbai, INDIA herein referred to "assignee" whose mailing address is 48, Kandivli Industrial Estate, Mumbai - 400 067 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one US dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this _____ day of November, 20 03
at Mumbai, INDIA.

State of _____)
County of _____) SS: _____
Before me personally appeared said Yatish Kumar BANSAL
and acknowledged the foregoing instrument to be his free act and deed this _____
day of November, 20 03.

(Signature)

(Notary Public)

Seal

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☒ Total of _____ forms are submitted.

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Sample Form (former PTO/SB15) (05-01)

ASSIGNMENT OF APPLICATION	Docket Number (Optional) IPCA
----------------------------------	----------------------------------

Whereas, I/We, Vecna SINGH of Mumbai, INDIA, hereafter referred to as applicant, have invented certain new and useful improvements in Stabilized Paroxetine Formulation

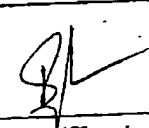
☐ for which an application for a United States Patent was filed on _____
 Application Number _____

☒ for which an application for a United States Patent was executed on _____ the date hereof _____, and

Whereas, IPCA Laboratories, Ltd. of Mumbai, INDIA herein referred to "assignee" whose mailing address is 48, Kandivli Industrial Estate, Mumbai - 400 067 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one US dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this _____ day of November, 20 03
 at Mumbai, INDIA


 (Signature)

State of _____
 County of _____ SS: _____

Before me personally appeared said Vecna SINGH
 and acknowledged the foregoing instrument to be his free act and deed this _____
 day of November, 20 03

(Notary Public)

Seal _____

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

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PTO/SR/96 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: IPCA Laboratories, Ltd.

Application No./Patent No.: _____ Filed/Issue Date: _____

Entitled: Stabilized Paroxetine FormulationIPCA Laboratories, Ltd. a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
- in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Nov. 2003

Date

Typed or printed name

Signature

Title

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Please type a plus sign (+) inside this box → ☐

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	
Filing Date	
First Named Inventor	Ram. THEMBALATH
Title	Stabilized Paroxetine Formulati
Group Art Unit	
Examiner Name	
Attorney Docket Number	IPCA

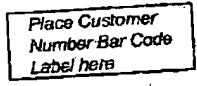
I hereby appoint:

- ☒ Practitioners at Customer Number 22,925 → 
 OR
☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

- ☒ The above-mentioned Customer Number.
 OR
☐ Practitioners at Customer Number → 
 OR

☐ Firm or
 Individual Name

Address

Address

City

Country

Telephone

State

Zip

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
 Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

IPCA Laboratories, Ltd.

Signature

Date

November

03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of forms are submitted.

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